



PTO/SB/08a/b (08-03)

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				Application Number	10/684,326	
Sheet		1	of	1	Filing Date	October 10, 2003
					First Named Inventor	Michael D. Ellis
					Art Unit	2611
					Examiner Name	Not yet assigned
					Attorney Docket Number	UV/035 Cont.

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/FP/		6,163,316	12-19-2000	Killian	
/FP/		6,172,677	01-09-2001	Stautner et al.	
/FP/		6,209,025	03-27-2001	Bellamy	
/FP/		6,388,714	05-14-2002	Schein et al.	
/FP/		6,510,557	01-21-2003	Thrift	
/FP/		6,665,869	12-16-2003	Ellis et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/FP/		S. Hartwig, "Softwarearchitekturen für interaktive digitale Decoder," Fernseh-und Kino-Technik, 50(3):92-94, 96-98, 100-102 (1996).	

Examiner Signature	/Fred Peng/	Date Considered	02/11/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANTSATTY. DOCKET NO.  
UV-35 Cont.APPLICATION NO.  
10/684,326APPLICANTS  
Michael D. Ellis et al.CONFIRMATION NO.  
9238FILING DATE  
October 10, 2003GROUP  
2611

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/FP/	5,481,542	01/02/96	Logston et al.	370	94.2	
/FP/	5,559,548	9/24/96	Davis et al.	348	6	
/FP/	5,559,549	9/24/96	Hendricks et al.	348	6	
/FP/	5,585,866	12/17/96	Miller et al.	348	731	
/FP/	5,589,892	12/31/96	Knee et al.	348	731	
/FP/	5,600,364	2/4/97	Hendricks et al.	348	1	
/FP/	5,600,573	2/4/97	Hendricks et al.	364	514	
/FP/	5,635,978	6/3/97	Alten et al.	348	7	
/FP/	5,635,979	6/3/97	Kostreski et al.	348	13	
/FP/	5,659,350	8/19/97	Hendricks et al.	348	6	
/FP/	5,682,195	10/28/97	Hendricks et al.	348	6	
/FP/	5,734,853	3/31/98	Hendricks et al.	395	352	
/FP/	5,740,549	4/14/98	Reilly et al.	705	14	
/FP/	5,760,821	6/2/98	Ellis et al.	348	10	
/FP/	5,771,064	06/23/98	Lett	725	134	
/FP/	5,781,246	7/14/98	Alten et al.	348	569	
/FP/	5,850,218	12/15/98	LaJoie et al.	725	45	
/FP/	5,874,985	02/23/99	Matthews, III	725	32	
/FP/	5,907,323	05/25/99	Lawler et al.	725	41	
/FP/	6,163,316	10/03/97	Killian	345	721	
/FP/	6,240,555	05/29/01	Shoff et al.	725	110	
/FP/	6,282,714	08/28/01	Ghori et al.	725	81	
/FP/	6,313,851	11/06/01	Matthews, III et al.	345	718	
/FP/	6,317,885 B1	11/13/01	Fries	725	109	

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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	ATTY. DOCKET NO. UV-35 Cont.	APPLICATION NO. 10/684,326
	APPLICANTS Michael D. Ellis et al.	CONFIRMATION NO. 9238
	FILING DATE October 10, 2003	GROUP 2611

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
/FP/	WO 98/06219	2/12/98	PCT	H04N	7/10		
/FP/	WO 97/49242	12/24/97	PCT	H04N	7/10		
/FP/	WO 97/49237	12/24/97	PCT	H04N	5/445		
/FP/	WO 97/48230	12/18/97	PCT	H04N	7/00		
/FP/	WO 97/42763	12/13/97	PCT	H04N	7/173		
/FP/	WO 97/31480	8/28/97	PCT	H04N	7/10		
/FP/	WO 97/18675	5/22/97	PCT	H04N	7/173		
/FP/	WO 97/13368	04/10/97	PCT	H04N	7/10		
/FP/	WO 96/41471	12/19/96	PCT	H04N	7/088		
/FP/	WO 96/34491	10/31/96	PCT	H04N	7/025		
/FP/	WO 96/09721	3/28/96	PCT	H04N	7/025		
/FP/	WO 95/32585	11/30/95	PCT	H04N	7/10		
/FP/	WO 95/07003	3/9/95	PCT	H04N	5/782		

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	FILING DATE October 10, 2003	GROUP 2611

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
/FP/	"Set-Top Box Control Software: A Key Component in Digital Video," by Keith Rath et al., Philips J. Res. 50 (1996) pp. 185-199.
/FP/	"OpenTV: Betriebssystem fur interaktives Fernsehen," by G. Hirtz et al., Fernseh- Und Kino- Technik, 50, Jahrgang Nr. 3/1996 (1996).
/FP/	"STB operating systems gear up for flood of data services," by T. Williams, Computer Design, February 1996, pp. 67-80.

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